JAN 2 4 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Technology Center 2000

Application of

Applicant(s) Serial No. Filed Title

Docket



Scarpino, et al.
09/887,606
June 22, 2001
FREQUENCY RESPONSES, APPARATUS AND
METHODS FOR THE HARMONIC ENHANCEMENT
OF AUDIO SIGNALS
TRD021IA

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 16, 2001.

Attorney Reg. No.

Assistant Commissioner for Patents Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56. 1.97. AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR § 1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR § 1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR § 1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO Form PTO/SB/08, substitute form for 1449 /PTO. Copies of the items are enclosed for the convenience of the Examiner.

Docket No. TRD021IA

The two German publications cited were referenced by the European Patent Office as International Preliminary Examining Authority in a Written Opinion mailed September, 1996, in PCT/US94/12328. Written English-language translations of the documents are not within the possession, custody or control of, or readily available to any individual designated in 37 CFR § 1.56(c), so translations are not provided.

It is believed that this Supplemental Information Disclosure Statement is being filed within the period set forth in 37 CFR § 1.97(b) because a first Office action on the merits has not been mailed. Therefore, no fee is required.

Respectfully submitted,

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TRD 021 IA

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449B/PTO **Application Number** 09/887,606 JAN 2 4 2002 INFORMATION DISCLOSURE Filing Date June 22, 2001 STATEMENT BY APPLICANT First Named Inventor Scarpino, F Technology Center 2d(Group Art Unit (use as many sheets as necessary) **Examiner Name**

Attorney Docket Number

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		"Circuit 16: Telefon-Mithorgerat"; 300 SCHALTUNGEN, 1979, page 15; Elektor Verlag Gmbh, Gangelt Germany			
		"Circuit 93: Mithorverstarker fur das Telefon"; 273 SCHALTUNGEN, 1980, page 75; Elektor Verlag Gmbh, Gangelt Germany			
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